

04-19-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ACX-103CN5
First Named Inventor Lazarus
Title Packaged Strain Actuator

11002 U.S. PTO
09/13/773
04/18/01

APPLICATION ELEMENTS

ADDRESS TO: **Box Patent Application**
Assistant Commissioner for Patents
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status
☐ Applicant claims small entity status
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 40]
 - Written Description - (23 pages)
 - Claims - (5 pages)
 - Abstract - (1 page)
 - Sheets of Drawings - (11 sheets)
☒ Formal
☐ Informal
4. ☒ Oath or Declaration [Total Pages 5]
 a. ☐ Newly executed (original)
 b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
5. ☐ Incorporation by Reference (usable if Box 3b is checked)
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ CD (identical to computer copy)
☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
9. ☐ English Translation Document *(if applicable)*
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
☐ Drawings [Total Sheets]
☐ Letter to Official Draftsperson Including Drawings [Total Pages]
12. ☒ Return Receipt Postcard
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Deletion of Inventor(s)
 Signed statement attached deleting inventor(s) named in the prior application.
15. ☐ CD in duplicate for large table or computer program.
16. ☒ Other:
 Copy of Power of Attorney by Assignee

17. ☒ If a CONTINUING APPLICATION,

--This is a

☒ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. 08/973,646, filed on 10/3/97.
Priority to the above application(s) is claimed under 35 U.S.C. 120.Prior application information: Examiner: C. Hall. Group/Art Unit: 3729.**18. ☐ Priority - 35 U.S.C. 119**
☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119.

☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.

☐ The certified copy will follow.
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: 4/18/01
 Reg. No. 43,526
 Tel. No.: (617) 248-7476
 Fax No.: (617) 790-0046

Respectfully submitted,
Leifer A. Camacho
 Leifer A. Camacho
 Attorney for Applicant(s)
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

FEE TRANSMITTAL

FY 2001

Complete if Known

| | |
|---------------------------|------------------|
| Application Serial Number | Not yet assigned |
| Filing Date | Herewith |
| First Named Inventor | Lazarus |
| Group Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | ACX-103CN5 |

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

| Fee (\$) | Fee Description | Fee Paid |
|----------|------------------------|----------|
| 710 | Utility filing fee | 710.00 |
| 320 | Design filing fee | |
| 150 | Provisional filing fee | |

| | Number Filed | Number Extra | Rate | Amount |
|--------------|--------------|--------------|--------------|--------|
| Total Claims | 37 | - 20 = 17 | x \$ 18.00 = | 306.00 |

| | Number Filed | Number Extra | Rate | Amount |
|--------------------|--------------|--------------|--------------|--------|
| Independent Claims | 4 | - 3 = 1 | x \$ 80.00 = | 80.00 |

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 1,096

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 1,096

2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

| | | | | |
|-------|---|---|--------------|--|
| Total | - | = | x \$ 18.00 = | |
|-------|---|---|--------------|--|

| | | | | |
|--------|---|---|--------------|--|
| Indep. | - | = | x \$ 80.00 = | |
|--------|---|---|--------------|--|

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 1,096

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130 | 65 | Surcharge - late filing fee or oath | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for reexamination | |
| 110 | 55 | Extension for reply within first month | |
| 390 | 195 | Extension for reply within second month | |
| 890 | 445 | Extension for reply within third month | |
| 1,390 | 695 | Extension for reply within fourth month | |
| 1,890 | 945 | Extension for reply within fifth month | |
| 310 | 155 | Notice of Appeal | |
| 310 | 155 | Filing a brief in support of an appeal | |
| 270 | 135 | Request for oral hearing | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 180 | 180 | Submission of Information Disclosure Statement | |
| 710 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 710 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$)

SUBTOTAL (1) 1,096.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$) 1,096.00

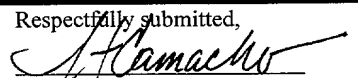
CORRESPONDENCE ADDRESS

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Respectfully submitted,

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